

# Chromosomal map of human brain malformations

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**Abstract** The etiology of most central nervous system (CNS) malformations remains unknown. We have utilized the fact that autosomal chromosome aberrations are commonly associated with CNS malformations to identify new causative gene loci. The human cytogenetic database, a computerized catalog of the clinical phenotypes associated with cytogenetically detectable human chromosome aberrations, was used to identify patients with 14 selected brain malformations including 541 with deletions, and 290 carrying duplications. These cases were used to develop an autosomal deletion and duplication map consisting of 67 different deleted malformation associated bands (MABs) in 55 regions and 88 different duplicated MABs in 36 regions; 31 of the deleted and 8 duplicated MABs were highly significantly associated ( $P < 0.001$ ). All holoprosencephaly MABs found in the database contained a known HPE gene providing some level of validation for the approach. Significantly associated MABs are discussed for each malformation together with the published data about known disease-causing genes and reported malformation-associated loci, as well as the limitations of the proposed approach.

## Introduction

Congenital malformations of the CNS are common birth defects with a birth prevalence of about 1% (Arvanitis 1999). Although mutations have been identified in more than 37 different genes in CNS malformations (Sarnat 2005) the etiology of the majority of cases remains unknown. For example, in holoprosencephaly (HPE) every identified mutation in known HPE-associated genes occurs in less than 4% of cases, and almost all of those are sporadic. (Cohen 2004). The Human malformation map has been updated recently (Carey and Viskochil 2007), however, only some of the brain malformations discussed here are included (HPE, lissencephaly and Dandy–Walker malformation).

Chromosome aberrations provide us with an important clue for the location of genes involved in congenital defects, since clinical and molecular cytogenetic data can be used to identify regions highly associated with birth defect of interest. A first analysis of the association of chromosomal imbalance with congenital malformations based on clinical and cytogenetic data has been performed in 1998 (Brewer et al. 1998, 1999). Many and especially frequent CNS malformations are common in autosomal chromosome aberrations (Schinzel 2001). In this work, we focus on the delineation of gene loci significantly associated with specific brain malformations using an unpublished updated version of the database which includes about 3000 additional entries. As the latter stem from more recent publications (after 1994), the breakpoint determination can be considered more precise and reliable as compared to the cases used in the aforementioned study.

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## Materials and methods

### Case selection

The human cytogenetic database (HCD) is an expertly curated computerized catalog of postnatally ascertained, cytogenetically detectable human chromosome aberrations. The first edition of HCD was published in 1994 (Human Cytogenetics Database 1994). A recent version of HCD contains more than 9,200 published cases with over 2,800 different aberrations (unpublished data). A search of HCD was performed for the brain malformations shown in Table 1. The selection was determined by the search mode of HCD (identical to London Dysmorphology Database). We excluded brain abnormalities not common in chromosomal aberrations (such as brain tumors, vascular abnormalities, hamartomas, neuronal migration abnormality), abnormalities which are unspecific and likely to have environmental causes (such as cerebral atrophy and intracranial calcification), and generalized terms (such as pons/medulla/basal ganglia/abnormal).

Cytogenetic and clinical data on individuals with non-mosaic simple deletions/duplications (those involving a single contiguous region of autosomal DNA) were extracted. Complex rearrangements were excluded to avoid any modifying effects (Lurie 1993). The International System for Human Cytogenetic Nomenclature (Shaffer and Tommerup 2005), 400-band nomenclature, was used to describe the deletions/duplications. A 400-band level was chosen taking into account that HCD contained cases since 1962, when high resolution banding technique did not exist. Deletions/duplications involving subbands were scored as

including the whole band, breakpoints bands were scored as deleted/duplicated.

### Statistical analysis

For the 14 malformation entities studied, the observed number of deletions/duplications of a particular band was compared with the expected number calculated from the band distribution of all band deletions/duplications (Brewer et al. 1998, 1999). The Fisher exact test was used to evaluate an association between deletion/duplication in a particular band and presence of a malformation (SPSS 13, Inc., Chicago). Chromosomal bands found to be significantly associated ( $P < 0.05$ ) with a given malformation, so called malformation-associated bands (MABs) (Brewer et al. 1998), were subdivided into three groups according to the  $P$  value: (1)  $P < 0.05$ , (2)  $P < 0.01$ ,  $P > 0.001$  and (3)  $P < 0.001$ .

### Validation of bands and regions

A systematic analysis of all published array-CGH studies was performed to define cases with the malformations of interest.

The candidate gene approach was used to identify genes hemizygoty of which can result in brain malformation. The list of genes localized on the bands found to be significantly associated with brain defects that were not previously reported were downloaded from Entrez Genome View ([http://www.ncbi.nlm.nih.gov/mapview/map\\_search.cgi](http://www.ncbi.nlm.nih.gov/mapview/map_search.cgi); access July 2006). Candidates were selected according to known biological function, pattern of tissue expression, similar malformations in knockout organisms.

**Table 1**

1. Holoprosencephaly (HPE)
Cyclopia
Premaxillary agenesis
2. Dandy-Walker malformation (DWM)
3. Agenesis of corpus callosum (ACC)
4. Schizencephaly
5. Cerebellar abnormalities
6. Lissencephaly
7. Pachygyria
8. Anencephaly
9. Neural tube defects (excluding anencephaly) (NTD)
Anterior encephalocele
Posterior encephalocele
Meningocele/spina bifida
Spina bifida occulta
10. Arnold-Chiari malformation
11. Aqueduct stenosis

## Results

### Identifying MABs

Three thousand one hundred and eighty nine cases with simple autosomal deletions and 2,178 cases with simple autosomal duplications were identified in the HCD. Five hundred and forty one patients with deletions and 290 with duplications were cataloged as having one of the 14 brain malformations chosen for the study. Schizencephaly (0 cases), pachygyria (4 cases) and aqueduct stenosis (1 case) had to be excluded because the number of cases associated with simple deletions and duplications was too small for useful analysis. The group of cerebellar anomalies was also excluded due to poor clinical definition (doubtful results of the investigations, lack of MRI, etc.).

Significant associations were found for 67 different deleted malformation associated bands (MABs) in 55

regions and 88 different duplicated MABs in 36 regions; 31 of the deleted and 8 duplicated MABs were highly significantly associated ( $P < 0.001$ ) (Tables 2, 3).

The distribution of aberrations throughout the autosomes was not random. Deletions of chromosome 12, 16, 19 and 21 were not associated with any of the brain malformations studied.

#### Further delineation of MABs

In order to narrow down candidate chromosome regions, all published array-CGH and larger subtelomere FISH studies were analyzed to define cases with the malformations of interest. From 2001 to 2006 eight studies (a total of 575 patients with mental retardation) have been published

**Table 2** Deleted regions significantly associated with brain malformations

Malformation	No. of cases	All MABs ( $P < 0.05$ )	MABs ( $P < 0.01$ , $P > 0.001$ )	MABs ( $P < 0.001$ )
Holoprosencephaly	111	2p23, 2p22, 2p21, 7q32-q36, 13q22-13q34, 18p11.3-11.1	13q22	2p22, 2p21, 7q32-q36, 13q31-q34, 18p11.3-11.1
Cyclopia	5	2p23-p21, 18p11.3-p11.1		2p22-p21
Premaxillary agenesis	6	18p11.3-p11.1		18p11.3-p11.1
Dandy-Walker malformation	18	3q25-q26.3, 6p25-p23	3q25	3q26.1-q26.3, 6p25, 6p24
Agenesis of corpus callosum	145	1q42-q44, 2p22, 2q13-q14.3, 2q21, 3q13.1-q21, 4q21, 6q25-q26 (6q27, 13q32, 14q11.2-q21, 15q15, 17p13,	2q14.2-q21, 3q13.3, 6q25, 6q27, 14q21	1q42-q44, 14q11.2-q13, 17p13
Anencephaly	4	13q31-q34	13q32-q34	
Neural tube defects (without anencephaly)	84	1q42-q44, 2q36, 13q22-q34	1q43, 2q36	13q22-q32, 13q33-q34
Anterior encephalocele	4	1q43-q44, 11p12-p11.2	1q43-q44	
Posterior encephalocele	15	1q41-q44, 2q24-q31, 13q22-q34	1q42-q44, 2q24, 13q22	13q31-q34
Meningocele/spina bifida	16	1q43-q44, 2q35-q36, 22q11.2	1q43, 2q36, 2q11.2	2q35-q36
Spina bifida occulta	51	4p15.2-p15.1, 9q22 13q31-q34, 20p13	13q33	
Lissencephaly	26	14q11.2-q13, 17p13, 22q12		14q11.2-q13, 17p13
Arnold-Chiari malformation	7	1q23-q24, 1q31, 2q35-q36, 5q23, 6q21		2q35-q36

**Table 3** Duplicated regions significantly associated with brain malformations

Malformation	No. of cases	All MABs ( $P < 0.05$ )	MABs ( $P < 0.01$ , $P > 0.001$ )	MABs ( $P < 0.001$ )
Holoprosencephaly	34	3p26-p21, 3q11.1, 3q11.2, 3q23, 3q29, 5q32-q34, 6p25-p21.1, 13q14-q34	3p24-p22, 6p21.2-p21.1	3p26, 3p25
Cyclopia	1	3p26-p22		
Premaxillary agenesis	4	5q32-q34		
Dandy-Walker malform.	15	12q24.3, 17q21, 17q22		
Agenesis of corpus callosum	73	1p32, 6p21.3-p21.1, 8p23-p11.1	8p23	8p22, 8p21
Anencephaly	9	2p25-p22		2p25-p22
Neural tube defects (without anencephaly)	49	1q43-q44, 2p16-p13, 20p13-p11.1		
Anterior encephalocele	3	1q11-q24, 7p12-q11.1		
Posterior encephalocele	1	8q22-q24.3		
Meningocele/spina bifida	9	2p25p13	2p16-p13	
Spina bifida occulta	37	4p13-p11, 20p13-p11.1		
Lissencephaly	0			
Arnold-Chiari malform.	1	5p15.3-p11		
Aqueduct stenosis	5	17p13		

where array CGH screenings of different series of patient were performed (de Vries et al. 2005; Joly et al. 2001; Menten et al. 2006; Poss et al. 2006; Rosenberg et al. 2006; Schoumans et al. 2005; Shaw-Smith et al. 2004; Tyson et al. 2005). Surprisingly, only a few patients with cryptic chromosomal aberrations were described with brain malformations, most often Agenesis of corpus callosum (ACC) and Dandy–Walker malformation. All but one rearrangement differed from the MABs determined in our study. Rosenberg et al. (2006) reported an aCGH investigation of 81 patients with mild to severe mental retardation and one of the patients with a de novo del(13)(q32.3) was found to have ACC, which corresponds to ACC and HPE associated MABs determined in our study.

#### Identification of candidate genes

Two interesting malformation-associated loci were selected for candidate gene identification. 3q13.3 is significantly associated with ACC, and 1q42–q44 is associated with both ACC and NTD. 55 genes map within 3q13.3 and 294 within 1q42–q44 (Entrez Genome View, access July 2006). Pseudogenes and genes with unknown function not expressed in neural tissue were excluded from further analysis. Genes of unknown function but expressed in neural tissue during embryonic development have been considered as genes with possible involvement, along with genes taking part in different pathways known to be important during CNS embryogenesis (Tables 4, 5).

**Table 4** Possible candidate genes for agenesis of corpus callosum and neural tube defects

Possible candidate genes	Reference
1q42–q44	
<i>WNT3A</i> , wingless-type MMTV integration site family, member 3A	Gunhaga et al. (2003)
<i>RHO</i> , ras homolog gene family, member U	Kirikoshi and Katoh (2002)
<i>DISC1</i> , disrupted in schizophrenia 1	Morris et al. (2003) Ozeki et al. (2003)
<i>GNG4</i> , guanine nucleotide binding protein (G protein), gamma 4	Ray et al. (1995)
<i>FMN2</i> , formin 2	Leader and Leder (2000)
<i>GREM2</i> , gremlin 2, cysteine knot superfamily, homolog ( <i>Xenopus laevis</i> )	Avsian-Kretchmer and Hsueh (2004)
<i>OPN3</i> , opsin 3 (encephalopsin, panopsin)	Halford et al. (2001)
<i>ZNF238</i> , zinc finger protein 238	Becker et al. (1997)
3q13.3	
<i>GAP43</i> , growth associated protein 43	Strittmatter et al. (1995)
<i>GPR156</i> , G protein-coupled receptor 156	Calver et al. (2003)
<i>RABL3</i> RAB, member of RAS oncogene family-like 3	Strausberg et al. (2002)
<i>FBXO40</i> , F-box protein 40	Nagase et al. (1999)
<i>LSAMP</i> , limbic system-associated membrane protein	Pimenta et al. (1996)

**Table 5** Strong candidate genes for agenesis of corpus callosum and neural tube defects

Chromosome band	Gene	Description
3q13.3	<i>CDGAP</i> , Cdc42 GTPase-activating protein	GTPase activator; expressed in the corpus callosum and fetal brain (Nagase et al. 1999)
3q13.3	<i>IGSF11</i> , immunoglobulin superfamily, member 11	Cell adhesion molecule; expressed in commissure fibers of the corpus callosum (Harada et al. 2005; Suzu et al. 2002)
1q42*	<i>DISPA</i> , dispatched homolog 1	Integral membrane protein; plays an essential role in Hh patterning activities in <i>Drosophila</i> ; Cephalic defects in knock-out mice are reminiscent of holoprosencephaly, embryos display also neural tube patterning defects (Ma et al. 2002)

\* 1q42–q44 found to be significantly associated ( $P < 0.01$ ) both with ACC and neural tube defects

## Discussion

Human autosomal aberrations are characterized by a combination of non-specific phenotypic effects, such as intra-uterine and postnatal growth retardation, craniofacial dysmorphisms, and impaired mental development (Schinzel 2001). Malformations are also common but it is rare for specific malformations to be invariably associated with a specific chromosome aberration. However, clinically recognizable chromosomal syndromes (Wolf–Hirschhorn syndrome, Cri du Chat syndrome, Down syndrome, Cat Eye syndrome etc.) suggest that hemizygosity or duplication of some of the affected genes have a direct negative effect on a particular developmental process. Consequently the recognition of a significant association between a congenital malformation and deletion or duplication of a specific locus may help in the identification of causative genes. The first systematic analysis of clinical and cytogenetic information associated with a large number of autosomal deletions and duplications was made by Brewer et al. (1998, 1999) to construct a chromosomal map showing association of congenital malformations and chromosomal regions. In the last 10 years HCD has doubled the number of cases available for statistical analysis and here we identify new MABs for an important group of malformations. It was gratifying to note that all the holoprosencephaly (HPE) deleted MABs identified in this study contained a known causative gene for HPE (Table 2; 2p21–*SIX2* gene, 7q36–*SHH* gene, 13q32–*ZIC2* gene, and 18p11.3–*TGIF* gene). HPE is a malformation representing a developmental field defect of impaired midline cleavage of the embryonic forebrain (Cohen 2001). Unsurprisingly, cyclopia and premaxillary agenesis were associated with HPE deletion MABs, (2)(p23-p21) and (18)(p11.1-p11.3), and are assumed to be caused by hemizygosity of the *SIX2* (2p21) and *TGIF* (18p11.3) genes.

Intragenic mutations mimicking haploinsufficiency are common in human genetics. Duplication is assumed to result in overexpression of the genes within a duplicated region. This is not an effect commonly mimicked by intragenic mutation and it is thus more difficult to assign pathogenesis to individual genes without animal model clues. Overexpression of *PAX6* causes eye malformations in transgenic mice (Schedl et al. 1996), and eye abnormalities were also reported in patients carrying a duplication including the *PAX6* locus on 11p13 (Aalfs et al. 1997). Several HPE duplication MABs were found (Table 3). Six patients from HCD were reported to have dup(3p) including MABs 3p25-p26 ( $P < 0.001$ ). However they all were associated with terminal monosomies. HPE has not been mapped to 3p25-p26 previously and was only discussed as an occasional finding in patients with dup3(pter-p21) (Schinzel 2001). In our study all but one duplications found to be

significantly associated with HPE represent chromosomal rearrangements resulting from familial translocations. All of these are associated with terminal deletions. The loss of the terminal regions in these cases was previously estimated to be insignificant; therefore the cases were registered as isolated duplications. However, increasing knowledge of the structure of subtelomeric and telomeric regions makes it necessary to analyze the possible impact of combined monosomies. Nevertheless, 3p25-p26 could be a new locus for HPE genes. It was not surprising to identify duplicated MABs on chromosome 13 as this is a characteristic malformation found to be associated with trisomy 13. However, the aberrations in four of five cases with duplications including MABs 13q14-q34 are not pure aberrations (associated with terminal deletions as explained above). Although it is difficult to conclude that either HPE is caused by dup(13q) or that the modifying effects of combined rearrangements play a crucial role, we consider that overexpression of genes located on chromosome 13 may cause HPE.

*Dandy Walker malformation* (DWM) is characterized by hypoplasia and upward rotation of the cerebellar vermis accompanied by a retrocerebellar cyst which is in communication with the fourth ventricle (Patel and Barkovich 2002). The four highly significant MABs 3q26.1, 3q26.2 and 3q26.3 ( $P < 0.001$ ) and 3q25 ( $P < 0.01$ ,  $P > 0.001$ ) (clinical descriptions (Sudha et al. 2001; Willner et al. 1990)) are likely to represent a single locus; indeed, an association of 3q deletions (3q24.3-q25.33) and DWM has been previously shown. *ZIC1* and *ZIC4* have been suggested as possible causative genes (Grinberg et al. 2004). A second highly significant region ( $P < 0.001$ ) associated with DWM found in our study is 6p25-p24 which has also been reported (Kelly et al. 1989; Klein et al. 2005; Lin et al. 2005; Mirza et al. 2004), however, no causative gene or genes in that region has/have been identified to date.

*Neural tube defects* are a group of malformations representing the non-closure of the neural tube resulting in an open or close defect of the cranium or the spine (Panteliadis and Pantzaris 1999). We showed a strong association with anencephaly for 13q22-q34 ( $P < 0.0001$ ). Brown et al. (1993) defined a critical region in 13q32; however, Luo et al. (2000) questioned this finding and suggested deletion in 13q33-q34 as sufficient to cause an NTD. *ZIC2* and *ZIC5* are considered strong candidate genes for neural tube defects. However, no mutations have yet been described for these genes in humans (Grinberg and Millen 2005). 1q42-q44 was identified as a highly significant region ( $P < 0.001$ ) for NTD as would be predicted from the known clinical syndrome associated with this deletion. Both deletions and duplications of (20)(p13-p11.1) show significant association with spina bifida occulta. *OVOL2* (ovo like 2) maps to this region. Murine embryos lacking *Ovol2* expression



show failure of cranial neural tube closure, this might be an evidence for a relationship between spina bifida occulta and open neural tube defects at least in a proportion of cases.

Deletions of 17p13 encompassing *LIS1*, and loss-of-function mutations in *LIS1* both cause lissencephaly (Ledbetter et al. 1992; Lo Nigro et al. 1997). Thus, it has not been a surprise to identify 17p13 as MAB with the strongest association with lissencephaly ( $P < 2 \times 10^{-50}$ ).

*Agenesis of corpus callosum* (ACC) is one of the most frequent human CNS malformations (Bedeschi et al. 2006). The association of ACC with chromosome aberrations has been discussed elsewhere. Schinzel (2001) considered ACC among selected malformations which are particularly common in autosomal chromosome aberrations. The role of del(1)(q44), del(2q), del(6)(q25), del(15)(q15) and dup(8)(p23-p21) in the origin of ACC is well-known (Bedeschi et al. 2006; Dobyns 1996; Pirola et al. 1998). One of the best documented chromosomal rearrangements associated with ACC is del(4)(p16), or Wolf-Hirschhorn syndrome. There were 16 cases of isolated del(4)(p16), but taking into account the extreme phenotypic variability of the syndrome (ACC was reported only in 6% of all registered cases), no statistically significant association could be shown. The low frequency of ACC is partly due to the fact that MRI of the brain is often not performed in patients with del(4)(p16), considering the poor survival and performance of the patients and that the investigation would be of no benefit for them.

The strongest association with ACC has been identified for del(1)(q42-q44) ( $P < 0.0001$ ). The relevance of this region for harboring an ACC gene search is well known (Gentile et al. 2003; Murayama et al. 1991). The possible involvement of the same gene(s) for NTD and ACC may be embryologically plausible. The fact that the fiber commissures connecting the right and the left cerebral hemispheres form from thickening at the cranial end of the telencephalon, which represents the zone of final neuropore closure (Larsen 2001), could support the assumption that these two processes are under control of genes which regulate the development of this particular region. Hemizyosity of the *DISPA* gene might be responsible for CNS malformation in case of 1q42 deletion. Mice embryos lacking *mDispA* function display the cephalic defects which are reminiscent of HPE and also neural tube patterning defects (Ma et al. 2002). This corresponds to our results showing the association of the *DISPA* region to both malformations in humans, assuming that ACC could be a part of the HPE spectrum (Cohen 2001). The smallest region of overlap (1.7 Mb) including the *DISPA* gene was newly identified in 7 patients with 1q41-1q42 deletions, although none of the reported patients had any brain abnormality (Shaffer et al. 2007). A 3.5-Mb critical region for microcephaly and ACC in 1q44 extending from RP11-80B9 to RP11-241M7 has

recently been defined (Boland et al. 2007), and two candidate genes have been proposed. Taking into account a variety of brain malformations in 1q42-1q44 deletions, several genes in this region might be involved in brain development.

3q13.3 has not been previously specifically discussed as a candidate locus of ACC causative genes in any review article. *IGSF11* (immunoglobulin superfamily, member 11) is the strongest candidate gene for ACC in 3q13.3 as it is expressed in the commissure fibers of the corpus callosum (Suzu et al. 2002). *CDGAP* gene (Cdc42 GTPase-activating protein) is also expressed in the corpus callosum and the fetal brain (Nagase et al. 1999). The important role of the genes located on 3q13.3 was currently supported by Lawson-Yuen et al. (2006). They reported a patient carrying a 3q13.3 deletion with complete agenesis of corpus callosum and provide a review of the literature with one further case of 3q13.3 deletions and ACC (Lawson-Yuen et al. 2006).

This approach to identify malformation loci has limitations. First, the quality and completeness of the cytogenetic and clinical descriptions in original publications is not uniform which concerns also recent array-CGH studies. Second, the statistical analysis assumes that each chromosome band can be treated equally. However, breakpoints involved in rearrangements are not randomly distributed and the role of predisposing low-copy repeats contributing to this disparity has been widely discussed (Shaw and Lupski 2004). This “clustering” phenomenon may reduce the resolution of chromosomal maps rather than cause an identification of false loci (Brewer et al. 1999). Third, if a disease causing gene locus is close to or even overlaps with a haplolethal region, it will not be detected with the strategy chosen. Fourth, the completeness of ascertainment of uncomplicated aberrations in the database is not known. Furthermore, the design of this study did not include cases with double imbalance and therefore defects occurring due to interaction of several genes (or one gene and one or several controlling elements) could not be detected. Finally, it has to be emphasized that this method would not be expected to reliably identify the locations of recessive genes and would not identify disease genes acting via other mechanisms of genetic dominance (Brewer et al. 1998; Wilkie 1994). With an increasing number of submicroscopic deletions and duplications being identified it is expected that the utility of this approach will markedly improve.

In summary, this deletion/duplication map of human brain malformations has identified several new candidate loci and confirmed many others. We have suggested two new candidate genes for Agenesis of corpus callosum. The 1q42 locus has been shown to be highly significant for both Agenesis of corpus callosum and Neural tube defects.

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